

**PART B - FEE(S) TRANSMITTAL**

Complete and send this form, together with applicable fee(s), to: **Mail**

**Mail Stop ISSUE FEE  
Commissioner for Patents  
P.O. Box 1450  
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(703) 746-4000**

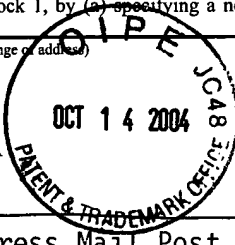
or **Fax**

**INSTRUCTIONS:** This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

009561 7590 07/30/2004

**POPOVICH, WILES & O'CONNELL, PA  
650 THIRD AVENUE SOUTH  
SUITE 600  
MINNEAPOLIS, MN 55402**



Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

**Certificate of Mailing or Transmission**

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first-class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

<b>Jodi C. Jung</b>	(Depositor's name)
<i>Jodi C. Jung</i>	(Signature)
<b>10-14-04</b>	(Date)

10/19/2004 FMETEKI2 00000002 10603069 Office to Addressee"

01 FC:1501 1370.00 DP  
02 FC:1504 300.00 DP  
03 FC:8001 30.00 DP

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/603,069	06/24/2003	William B. Dawson	KEY1027US	8612

TITLE OF INVENTION: RETAINING WALL BLOCK SYSTEM AND CONNECTOR

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1330	\$300	\$1630	11/01/2004

EXAMINER	ART UNIT	CLASS-SUBCLASS
SALDANO, LISA M	3673	405-284000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).
- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. **Use of a Customer Number is required.**

2. For printing on the patent front page, list
- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 **Popovich, Wiles & O'Connell, P.A.**  
2  
3

**3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)**

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

**Keystone Retaining Wall Systems, Inc.**

**Bloomington, Minnesota (U.S.A.)**

Please check the appropriate assignee category or categories (will not be printed on the patent); ☐ individual ☒ corporation or other private group entity ☐ government

**4a. The following fee(s) are enclosed:**

- ☒ Issue Fee
- ☒ Publication Fee (No small entity discount permitted)
- ☒ Advance Order - # of Copies **10**

**4b. Payment of Fee(s):**

- ☒ A check in the amount of the fee(s) is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number **16-2312** (enclose an extra copy of this form).

**5. Change in Entity Status (from status indicated above)**

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is not claiming SMALL ENTITY status. See, e.g., 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

(Authorized Signature) *Terry L. Wiles* (Date) **10/14/04**  
**Terry L. Wiles (29,989)**

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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TRANSMIT THIS FORM WITH FEE(S)



Date of Notice of Allowance: July 30, 2004

Confirmation No.: 8612

Serial Number: 10/603,069

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: William B. Dawson

Attorney Docket: KEY1027US

Serial No.: 10/603,069

Group Art Unit: 3673

Filed: June 24, 2003

Examiner: Lisa M. Saldano

For: RETAINING WALL BLOCK SYSTEM AND CONNECTOR

**TRANSMITTAL OF PAYMENT OF ISSUE FEE (37 C.F.R. § 1.311)**

MAIL STOP ISSUE FEE

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

1. Applicant hereby pays the issue fee for the attached Fee(s) Transmittal PTOL-85 (1 page).

2. Fee (37 C.F.R. §§ 1.18(a) and (b)):

Application status is:

Regular

Design

☐ small business entity - fee

☐ \$685

☐ \$245

☐ verified statement attached

☐ verified statement filed on \_\_\_\_\_

☒ other than a small entity - fee

☒ \$1370

☐ \$490

**Certificate of Express Mailing (37 C.F.R. § 1.10)**

I hereby certify that this paper or fee is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" Mailing Label No. EV 532431558 US in an envelope addressed to: Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

Date: October 14, 2004

Signature: Jodi Jung

Name: Jodi Jung

Transmittal of Payment of Issue Fee  
Applicant: William B. Dawson  
Serial Number: 10/603,069

Attorney Docket: KEY1027US

3. Payment of fee:

☒ Enclosed please find a check for \$1700.00 (issue fee of \$1330.00, publication fee of \$300.00, and ten copies of patent at \$3.00 each).

☒ Charge Account 16-2312 for any fee deficiency.

☐ Charge Account \_\_\_\_\_ the sum of \$ \_\_\_\_\_.

Respectfully submitted,

Dated: 10/14/04

By 

Customer No. 009561

Terry L. Wiles (29,989)

Patrick J. O'Connell (33,984)

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